

Fig.1

| Customer code | Stock code | Buy/Sell | Volume of odd lot | price |
|---------------|------------|----------|-------------------|-------|
|---------------|------------|----------|-------------------|-------|

Fig.5

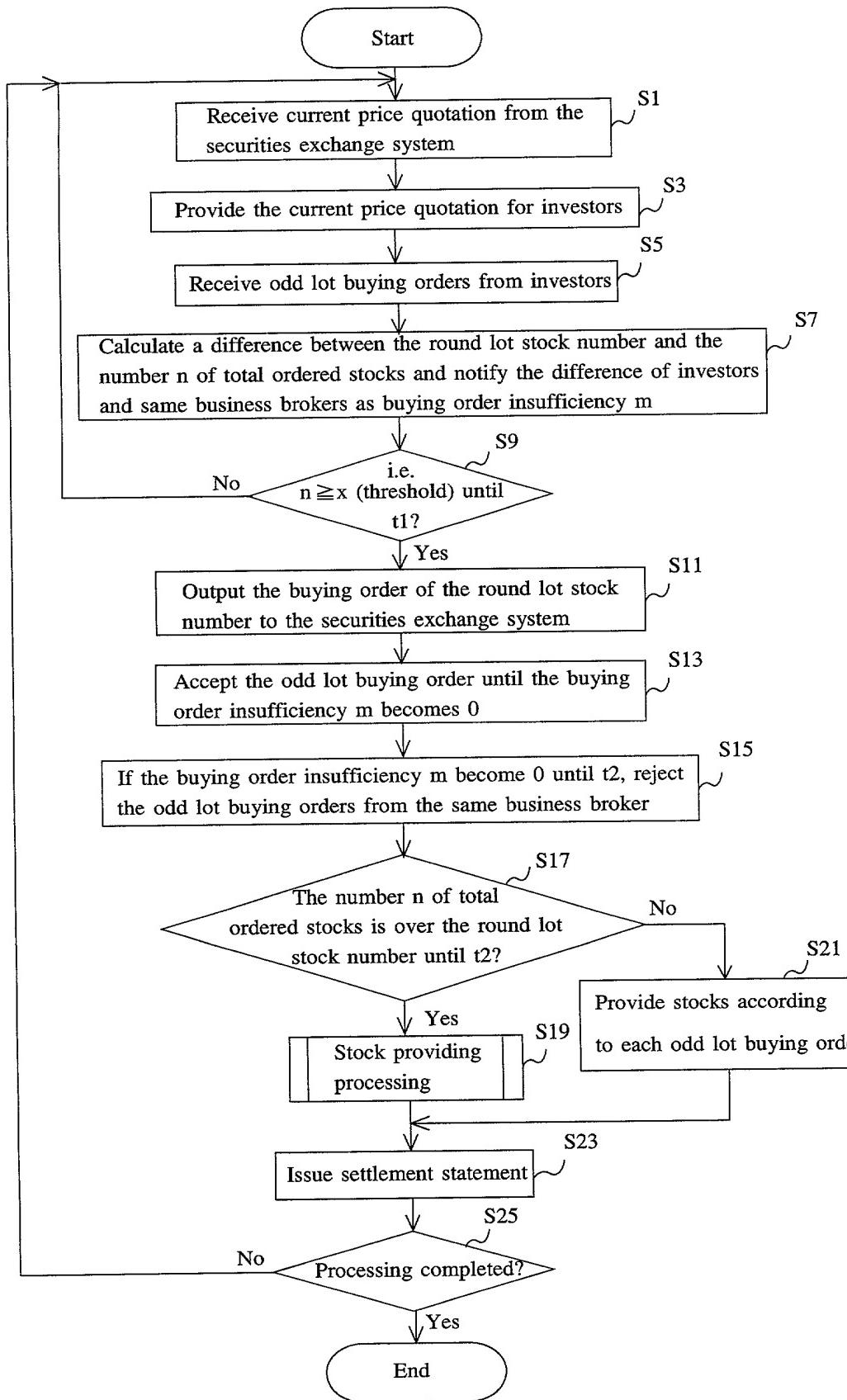


Fig.2

偶数交易单输入屏  
偶数交易单拒绝屏

|            |              | June 15, 2000   |               | Time 10:00:00 |               |
|------------|--------------|-----------------|---------------|---------------|---------------|
| Stock code | Company name | Beginning price | Highest price | Lowest price  | Current price |
| 01234      | ABC          | 250             | 260           | 230           | 240           |
| 01235      | DEF          | 350             | 370           | 340           | 360           |

| Stock code | Company name | Price | Difference to the previous day | Settlement volume | Buying order insufficiency | Selling order insufficiency | Order price |
|------------|--------------|-------|--------------------------------|-------------------|----------------------------|-----------------------------|-------------|
| 01234      | ABC          | 240   | -10                            | 10K               | 400                        | -                           | 240         |
| 01235      | DEF          | 360   | +10                            | 10K               | 300                        | -                           | 360         |

Fig.3

Odd lot trading order input screen

|              |  |              |   |
|--------------|--|--------------|---|
| Stock code   | 0123   | Stock code   | 0123  |
| Company name | ABC  | Company name | ABC   |
| Price        | 240  | Price        | 240   |
| Sell/Buy     | Buy  | Sell/Buy     | Buy   |
| Volume       | <input type="text" value="400"/> <input type="button" value="△"/> <input type="button" value="▽"/> | Volume       | 400 <input type="button" value="△"/> <input type="button" value="▽"/>         |
|              | <input type="button" value="Transmit"/> <input type="button" value="Cancel"/>                      |              | <input type="button" value="Transmit"/> <input type="button" value="Cancel"/> |

Odd lot trading order refusing screen

Fig.4  
Fig.6

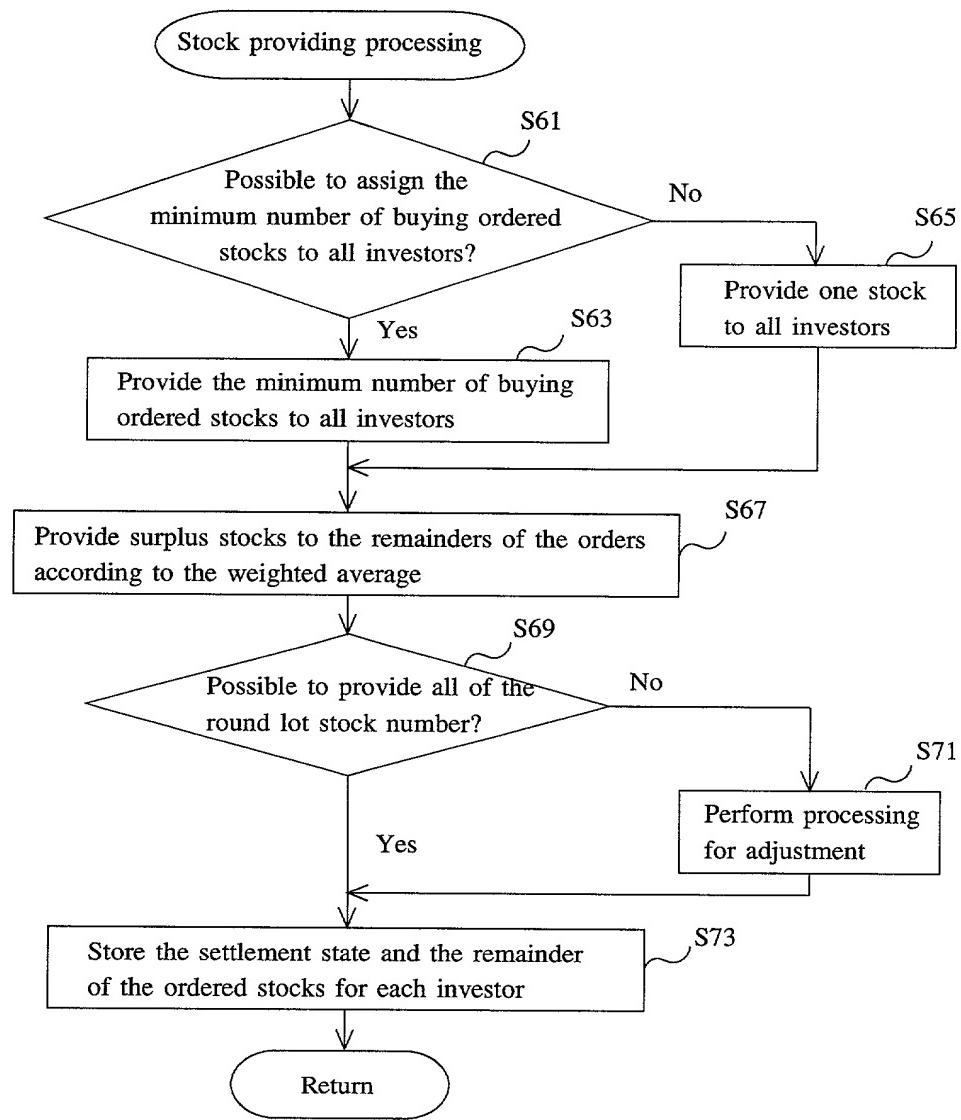


Fig.7

| Customer     | Volume | Priority providing | Remainder of order | Weighted average | Equalized providing | Remainder | Provided stock number |
|--------------|--------|--------------------|--------------------|------------------|---------------------|-----------|-----------------------|
| A            | 1      | 1                  | 0                  | 0.0              | 0                   | —         | 1                     |
| B            | 2      | 1                  | 1                  | 1.0              | 1                   | —         | 2                     |
| C            | 4      | 1                  | 3                  | 2.9              | 3                   | —         | 4                     |
| D            | 8      | 1                  | 7                  | 6.8              | 7                   | —         | 8                     |
| E            | 16     | 1                  | 15                 | 14.7             | 15                  | —         | 16                    |
| F            | 32     | 1                  | 31                 | 30.3             | 30                  | —         | 31                    |
| G            | 64     | 1                  | 63                 | 61.6             | 62                  | —         | 63                    |
| H            | 128    | 1                  | 127                | 124.1            | 124                 | —         | 125                   |
| I            | 256    | 1                  | 255                | 249.2            | 249                 | —         | 250                   |
| J            | 512    | 1                  | 511                | 499.4            | 499                 | —         | 500                   |
| Accumulation | 1023   | 10                 | 1013               | 990.0            | 990                 | 0         | 1000                  |

Fig.8

| Customer     | Volume | Priority providing | Remainder of order | Weighted average | Equalized providing | Remainder | Provided stock number |
|--------------|--------|--------------------|--------------------|------------------|---------------------|-----------|-----------------------|
| A            | 1      | 1                  | 0                  | 0.0              | 0                   | —         | 1                     |
| B            | 1      | 1                  | 0                  | 0.0              | 0                   | —         | 1                     |
| C            | 1      | 1                  | 0                  | 0.0              | 0                   | —         | 1                     |
| D            | 650    | 1                  | 649                | 326.3            | 326                 | —         | 327                   |
| E            | 660    | 1                  | 659                | 331.3            | 331                 | —         | 332                   |
| F            | 670    | 1                  | 669                | 336.4            | 336                 | 1         | 338                   |
| Accumulation | 1983   | 6                  | 1977               | 994.0            | 993                 | 1         | 1000                  |

Fig.9A

| Customer     | Volume | Weighted average | Equalized providing | Remainder | Provided stock number |
|--------------|--------|------------------|---------------------|-----------|-----------------------|
| A            | 1      | 0.5              | 1                   | —         | 1                     |
| B            | 1      | 0.5              | 1                   | —         | 1                     |
| C            | 1      | 0.5              | 1                   | —         | 1                     |
| D            | 650    | 327.8            | 328                 | —         | 327                   |
| E            | 660    | 332.8            | 333                 | -1        | 332                   |
| F            | 670    | 337.9            | 338                 | -1        | 338                   |
| Accumulation | 1983   | 100.0            | 1002                | -2        | 1000                  |

Fig.9B

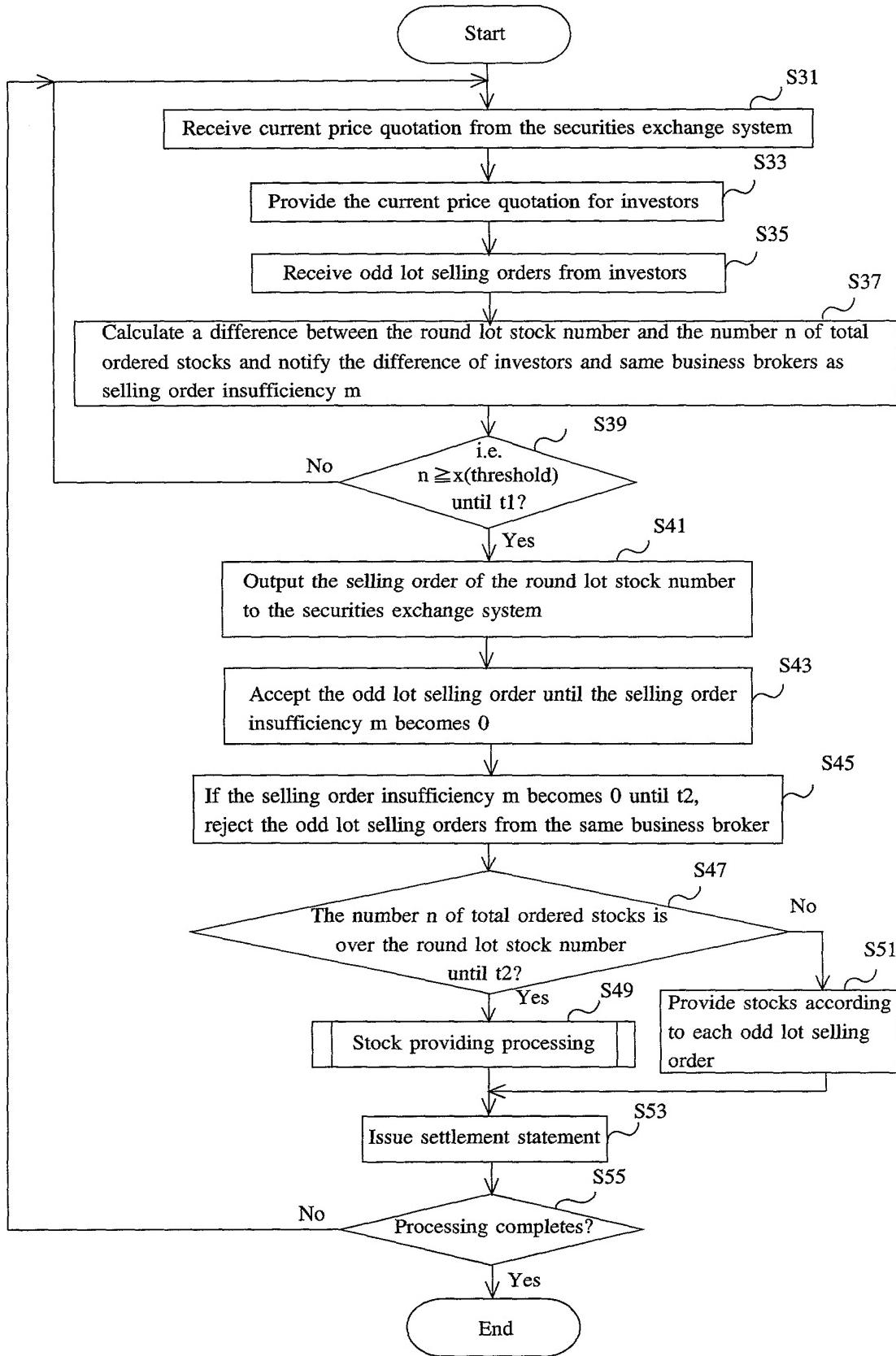


Fig.10

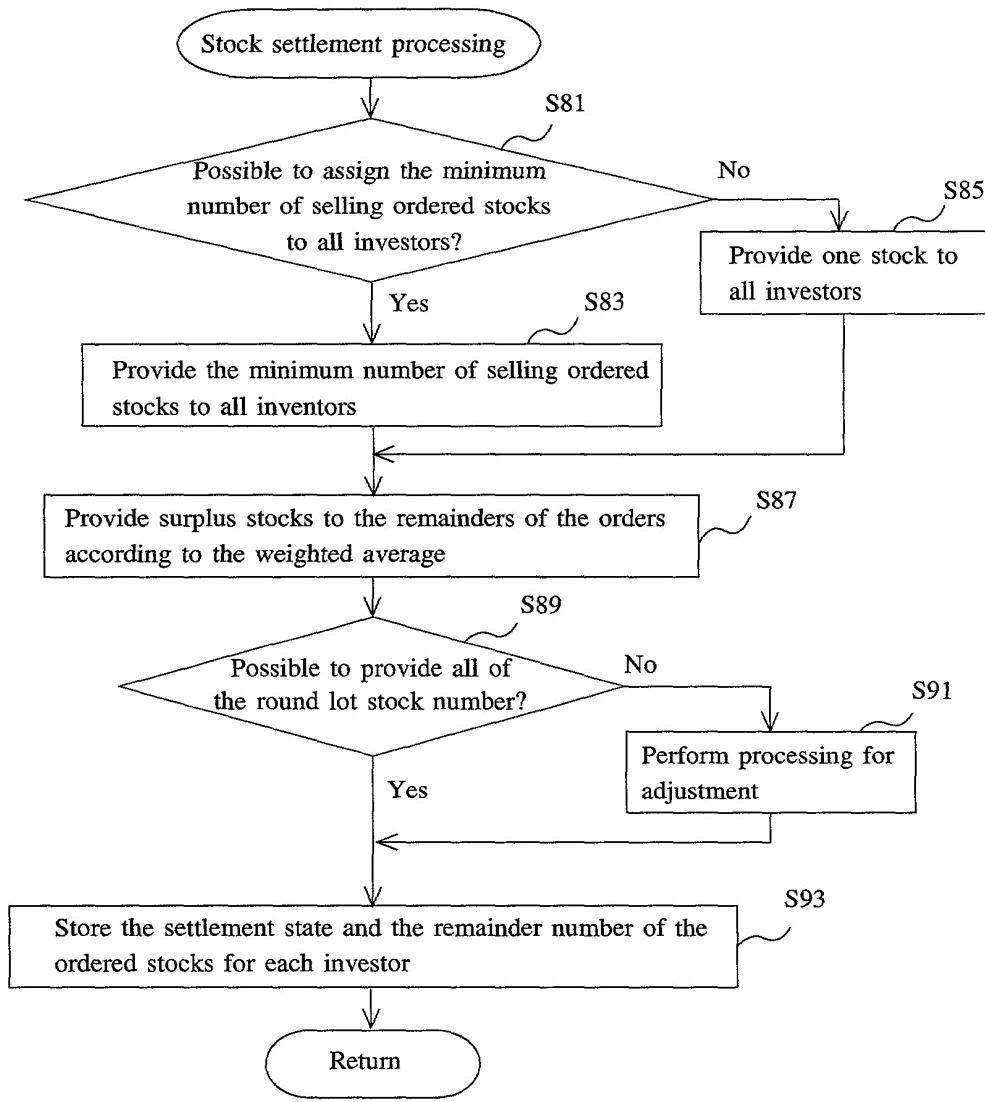


Fig.11